PATENTS 112056-0151U P01-1738.02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Vinay Gupta et al.)	
Serial No.: 10/797,669))	Examiner: Not Yet Assigned
Filed: March 10, 2004)	Art Unit: Not Yet Assigned
For: USER INTERFACE SYSTEM FOR A CLUSTERED STORAGE SYS-))	
TEM)	Cesari and McKenna, LLP 88 Black Falcon Avenue
		Boston, MA 02210 May 12, 2004

CERTIFICATE OF MAILING

I hereby certify that the following Information-Disclosure Statement is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 12, 2004.

Safiya Jarvis

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION-DISCLOSURE STATEMENT

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

PATENTS 112056-0151U P01-1738.02

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Duane H. Dreger

Reg. No. 48,836 CESARI AND MCKENNA, LLP

88 Black Falcon Avenue Boston, MA 02210-2414

(617) 951-2500

Substitute for form 1449A/PTO

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

1	$\overline{}$	8.5	71	\sim		00	• 1	\sim	\sim

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known					
Application Number	10/797,669				
Filing Date	March 10, 2004				
First Named Inventor	Vinay Gupta et al.				
Group Art Unit	Not Yet Assigned				
Examiner Name	Not Yet Assigned				
Attorney Docket Number	112056-0151U				

	U.S. PATENT DOCUMENTS									
Examiner Initials	Cite No.1	U.S. Patent Document Kind Code ²		Name of Patentee or Applicant of Cited Document	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant				
17110410		Number (if k	known)		Cited Document MM-DD-YYYY	Figures Appear				
	1	6,728,897	B1	Cramer, et al.	04-27-2004					
	2	4,937,763		Mott	06-26-1990					
	3	5,067,099		McCown, et al.	11-19-1991					
	4	5,781,770		Byers, et al.	07-14-1998					
	5	5,633,999		Clowes, et al.	05-27-1997					
	6	5,157,663		Major, et al.	10-20-1992					
	7	6,625,749	B1	Quach	09-23-2003					
	8	5,812,751		Ekrot, et al.	09-22-1998					
	9	6,292,905	B1	Wallach, et al.	09-18-2001					
	10	6,119,244		Schoenthal, et al.	09-12-2000					
	11	5,951,695		Kolovson	09-14-1999					
	12	5,812,748		Ohran, et al.	09-22-1998					

	FOREIGN PATENT DOCUMENTS								
Examine	- Francisco	Fo	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	T ₆	
r Initials*	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear		
									
			ļ						
	ļ								

<u> </u>

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: Vinay Gupta et al.)
Serial No.: 10/797,669) Examiner: Not Yet Assigned
Filed: March 10, 2004)
) Art Unit: Not Yet Assigned
For: USER INTERFACE SYSTEM FOR)
A CLUSTERED STORAGE SYS-)
TEM)
)
	Cesari and McKenna, LLP
	88 Black Falcon Avenue
	Boston, MA 02210
•	May 12, 2004

CERTIFICATE OF MAILING

I hereby certify that the following paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 12, 2004.

X Information Disclosure Statement

X PTO-1449 and Copies of References

X Return Receipt Postcard